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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

In Re Application of:
Ratnam SOORIYAKUMARAN et al.

Serial No. 10/079,289

Group Art Unit: 1652

Filing Date: February 19, 2002

Examiner: Unassigned

Title: SUBSTANTIALLY TRANSPARENT AQUEOUS BASE SOLUBLE
POLYMER SYSTEM FOR USE IN 157 NM RESIST APPLICATIONS

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SECOND PRELIMINARY AMENDMENT

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TC 1700

Sir:

This is a second preliminary amendment to the patent application identified above, filed on February 19, 2002.

ACCOMPANYING DOCUMENTS

Submitted herewith is an executed Declaration of Ratnam Sooriyakumaran, Robert David Allen, and Debra Fenzel-Alexander, addressing the authorship on References BP and BQ vis-à-vis the inventorship on the present application, as set forth in Section 715.01(c) of the Manual of Patent Examining Procedure (M.P.E.P.) and *In re Katz*, 215 USPQ 14 (CCPA 1982), cited therein.

AMENDMENTS

IN THE CLAIMS:

Please amend claim 98 as indicated in Appendix A. The amended claim will then read as follows:

98. (Amended) The polymer of claim 67, further comprising additional monomer units having the structure of formula (IV)